



Audit, Risk and Governance

Audit, Compliance and Regulatory Accounting

Course Introduction

This comprehensive course is designed to equip participants with the knowledge and skills necessary to ensure regulatory compliance, track laws and regulations, prepare compliance and audit reports, and stay updated with the latest trends in accounting principles. In today's complex business environment, adherence to regulatory requirements is vital for organizational success and sustainability.

Throughout this program, participants will delve into topics such as regulatory compliance, risk assessments, audit reporting, and the interpretation of accounting standards. Additionally, they will learn how to monitor and track laws and regulations that impact organizational policies. By the end of the training, participants will have the expertise to effectively manage audits, drive compliance initiatives, and stay abreast of evolving accounting principles.

Target Audience

- - Executives who have strategic financial oversight of their unit or organization
- - Finance-related roles
- - Accounting-related roles
- Marketing Managers
- Financial managers
- Affiliate marketing leaders
- Career Enhancers
- Digital Innovators
- Business owner / Entrepreneur
- General / Senior Accountant
- Business Project Manager
- Bank Branch Manager
- Structured Finance Senior Relationship Manager
- Finance Transformation Manager
- Risk Manager
- Investment Analyst

Learning Objectives

- Ensure that all business units are in compliance with regulatory requirements.
- Track laws and regulations that might affect the organization's policies.
- Ability to prepare compliance / Audit reports to be presented.
- Become updated with the new trends in accounting principles (IFRS, SOCPA, GAAP)

Course Outline

- Day 01

Regulatory Compliance and Requirements

- Introduction to Regulatory Compliance and Its Importance
- Understanding Applicable Laws and Regulations
- Compliance Management Framework
- Assessing Compliance Risks and Internal Controls
- Compliance Monitoring and Reporting
- Conducting Compliance Audits
- Case Studies and Practical Exercises on Regulatory Compliance

• Day 02

Tracking Laws and Regulations

- Importance of Tracking Laws and Regulations
- Establishing a Regulatory Intelligence System
- Monitoring Regulatory Changes and Updates
- Analyzing Impact of Regulations on Organizational Policies
- Compliance Risk Assessments and Mitigation Strategies
- Incorporating Compliance into Policy Development Process

• Day 03

Audit Reports and Accounting Standards

- Understanding the Audit Process and Objectives
- Roles and Responsibilities in Audit Reporting
- Audit Reporting Framework and Standards (IFRS, SOCPA, GAAP, etc.)
- Elements of an Effective Audit Report
- Interpretation and Communication of Audit Findings
- Trends and Updates in Accounting Principles and Standards
- Developing and Presenting Compliance and Audit Reports

Confirmed Sessions

| FROM | TO | DURATION | FEES | LOCATION |
|-------------|-------------|----------|------------|--------------|
| May 4, 2025 | May 6, 2025 | 3 days | 3250.00 \$ | KSA - Riyadh |

| FROM | TO | DURATION | FEES | LOCATION |
|---------------|---------------|----------|------------|------------------|
| Aug. 25, 2025 | Aug. 27, 2025 | 3 days | 3250.00 \$ | UAE - Dubai |
| Nov. 3, 2025 | Nov. 5, 2025 | 3 days | 3950.00 \$ | England - London |